



SHARI L. FREIDENRICH, CPA, CCMT, CPFA, ACPFIM
**TREASURY OVERSIGHT COMMITTEE (TOC) AGENDA
SPECIAL MEETING**



Thursday, October 28, 2021 at 3:00 p.m.
601 N. Ross Street, First Floor Conference Center
Santa Ana

ALISA BACKSTROM, Chair
FRANCINE SCINTO, Member
FRANK DAVIES, CPA, Member

RICHARD ROHM, CPA, Vice Chair
DR. AL MIJARES, Member

GARY CAPATA, CPA, Member
FRANK KIM, Member

Call to Order

Welcome

Public Comments

At this time, members of the public may address the Committee regarding any item within the subject matter jurisdiction of the Committee, provided that NO action may be taken on off-agenda items unless authorized by law.

Minutes

- Approve July 22, 2020 Treasury Oversight Committee Minutes

New Business

- Receive and File Treasurer's Monthly Investment Report for July, August and September
- Receive and File September 2021 TOC Compliance Summary
- Receive and File Agreed-Upon Procedures Related to Investment Compliance Fiscal Year Ended June 30, 2019
- Receive and File Agreed-Upon Procedures Related to Investment Compliance Fiscal Year Ended June 30, 2020 (issued October 12, 2021)
- Receive and File Compliance Monitoring of the Treasurer's Investment Portfolio for the Quarter Ended March 31, 2021
- Receive and File the Schedule of Assets Held by the County Treasurer as of March 31, 2021
- Review and Approve Bylaws and Rules of Procedures
- Review Irregularity Procedures
- Receive and File Office of the Treasurer Broker-Dealer / Financial Institution List as of September 30, 2021
- Review Annual Certificate of Compliance

Chair's Report

Treasurer's Report

Committee Member Comments

At this time, members of the Treasury Oversight Committee may comment on agenda or non-agenda matters and ask questions of or give directions to staff, provide travel or member committee reports provided that NO action may be taken on off-agenda items unless authorized by law.

Adjournment to Wednesday, January 26, 2022 at 3:00 P.M., 601 N. Ross Street, First Floor, Rooms 103 &105